PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C12N 15/49, A61K 48/00, 31/70, C12N 15/67		(11) International Publication Number: WO 97/4837
		(43) International Publication Date: 24 December 1997 (24.12.97
(21) International Application Number: PCT/US9		(74) Common Representative: MERCK & CO., INC.; 126 Eas Lincoln Avenue, Rahway, NJ 07065 (US).
(22) International Filing Date: 17 June 1997 (1	7.06.9	,
(30) Priority Data: 60/020,166 60/020,165 9614943.0 9614942.2 16 July 1996 (16.07.96) 961,4942.2 16 July 1996 (16.07.96) (71) Applicant (for all designated States except US): MEI CO., INC. [US/US]; 126 East Lincoln Avenue, Rahro 07065 (US).	U G G RCK 4 way, N	PL. RO, RU, SG, SI, SK, SL, TJ, TM, TR, TT, UA, US UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI paten (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD
(72) Inventors; and (75) Inventors/Applicants (for US only): SHIVER, Jol [US/US]; 126 East Lincoln Avenue, Rahway, NJ (US). DAVIES, Mary, Ellen [US/US]; 126 East Avenue, Rahway, NJ 07065 (US). FREED, Dar [US/US]; 126 East Lincoln Avenue, Rahway, NJ (US). LIU, Margaret, A. [US/US]; 126 East Avenue, Rahway, NJ 07065 (US). PERRY, He [US/US]; 126 East Lincoln Avenue, Rahway, NJ (US).	Lincol Lincol niel, C 0706 Lincol len, C	(88) Date of publication of the international search report: 26 March 1998 (26.03.98)

(54) Title: VACCINES COMPRISING SYNTHETIC GENES

(57) Abstract

Synthetic polynucleotides comprising a DNA sequence encoding a peptide or protein are provided. The DNA sequence of the synthetic polynucleotides comprise codons optimized for expression in a nonhomologous host. The invention is exemplified by synthetic DNA molecules encoding HIV env as well as modifications of HIV env. The codons of the synthetic molecules include the projected host cell's preferred codons. The synthetic molecules provide preferred forms of foreign genetic material. The synthetic molecules may be used as a polynucleotide vaccine which provides immunoprophylaxis against HIV infection through neutralizing antibody and cell-mediated immunity. This invention provides polynucleotides which, when directly introduced into a vertebrate in vivo, including mammals such as primates and humans, induces the expression of encoded proteins within the animal.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΔÜ	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Toga ·
BB	Barbados	GII	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BC	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belanus	IS	Iceland	MW	Malawi .	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
СН	Switzerland	KG	Kyrgyzstan	NO	Norway	. ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
Cυ	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		
1							
ı							

Inter onal Application No PCT/US 97/10517

A. CLASSI IPC 6	FICATION OF SUBJECT MATTER C12N15/49 A61K48/00 A61K31/	70 C12N15/67		
According to	n International Patent Classification (IPC) or to both national classific	ation and IPC		
	SEARCHED			
Minimum do IPC 6	scumentation searched (classification system followed by classification C12N A61K C07K	on symbols	. *	
Documental	tion searched other than minimum documentation to the extent that a	uch documents are included in the fields se	arched	
Electronic d	ata base consulted during the international search (name of data ba	se and, where practical, search terms used		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the rel	evant passages	Relevant to claim No.	
х	WO 95 20660 A (UNIVERSITY OF MAS MEDICAL CENTER) 3 August 1995 see page 45, line 16 - page 62,		1-13, 15-18	
х	K. OKUDA ET AL.: "Induction of humoral and cell-mediated immune following direct injection of DN the HIV typr 1 env and rev gene AIDS RESEARCH AND HUMAN RETROVIE vol. 11, no. 8, August 1995, pages 933-943, XP002036839 see the whole document	1-13, 15-18		
		-/	·	
X Furti	her documents are listed in the continuation of box C.	Patent family members are listed in	п аплех.	
"A" docume consid "E" earlier of filing d "L" docume which citation "O" docume other r "P" docume	int which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified) sent referring to an oral disclosure, use, exhibition or means on the prior to the international filing date but	"T" later document published after the inte or priority date and not in conflict with cited to understand the principle or the invention. "X" document of particular relevance; the coannot be considered nevel or cannot involve an inventive stap when the document of particular relevance; the cannot be considered to involve an indocument is combined with one or more ments, such combination being obvious the art. "8" document member of the same patent	the application but sory underlying the stand invention be considered to curnent is taken alone laimed invention ventive step when the ore other such doougs to a person skilled	
	and the priority date claimed social completion of the international search	Date of mailing of the international sea		
	December 1997	2 0. (
Name and n	nailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Cupido, M		

Inter nat Application No PCT/US 97/10517

		PC1/05 9//1051/
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
ategory *	Citation of document, with indication, where appropriate, of the relevant passages	ASC TAIL OF SELECT
(B. WANG ET AL.: "Gene inoculation generates immune responses against human immunodeficiency virus type 1" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 90, 1 May 1993, WASHINGTON US, pages 4156-4160, XP000608482 see the whole document	1-13, 15-18
X	WO 94 16737 A (D.B. WEINER ET AL.) 4 August 1994 see page 59, line 26 - page 89, line 22	1-13, 15-18
Ρ,Χ	WO 96 21356 A (VANDERBILT UNIVERSITY) 18 July 1996 see the whole document	1-13, 15-18
P,X	WO 97 11086 A (THE GENERAL HOSPITAL CORPORATION) 27 March 1997 see page 16, line 19 - page 17, line 15	1-3,5,19
P,X	DATABASE WPI Section Ch, Week 9641 Derwent Publications Ltd., London, GB; Class B04, AN 96-408330 XP002036840 & JP 08 198 774 A (OKUDA K), 6 August 1996 see abstract	1-13, 15-18
E	WO 97 31115 A (MERCK & CO., INC.) 28 August 1997 see the whole document	1-18

Form PCT/tSA/210 (continuation of second sheet) (July 1992)

Int. .tional application No.

PCT/US 97/10517

Box	Observations where certain claims were found unsearchable (Continuation of Item 1 of Itrat sheet)
This Inte	emational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X 2	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Remark: Although claim(s) 6-8, 10-12, and 14-18 is(are) directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition. Claims Nos.: because they relate to parts of the international Application that do not comply with the prescribed requirements to such
з. [claims Nos.: Claims Nos.: because they relief to parts of the international Application that do not combly with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically: Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is tacking (Continuation of Item 2 of Irrat sheet)
This Intel	mational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
э. 🔲	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is estricted to the invention first mentioned in the claims; it is covered by claims Nos.;
Remark o	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

information on patent family members

Intern nal Application No PCT/US 97/10517

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9520660 A	03-08-95	CA 2181832 A EP 0740704 A JP 9508622 T US 5620896 A	03-08-95 06-11-96 02-09-97 15-04-97
WO 9416737 A	04-08-94	US 5593972 A AU 675702 B AU 6232094 A EP 0681483 A HU 73099 A JP 8509694 T ZA 9400493 A	14-01-97 13-02-97 15-08-94 15-11-95 28-06-96 15-10-96 03-01-95
WO 9621356 A	18-07-96	AU 2958795 A	31-07-96
WO 9711086 A	27-03-97	NONE	
WO 9731115 A	28-08-97	AU 2124697 A	10-09-97